# The Latest Developments of Chemical Legislation in China





Enabling Chemical Compliance for A Safer World

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- Overview of Chemical Management Policies in China
- New Chemical Substance Management
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- GHS Implementation: Current Status and Challenges
- Practical Advice for Chemical Companies Doing Businesses with/in China



# **Chemical Management Policies in China**

Category	Regulations	Key Words
New Chemicals	Order No. 7 - The Provisions on Environmental	IECSC, China
Management	Administration of New Chemical Substances (15 Oct 2010)	REACH
Existing Chemicals Management - Import and Export of Toxic Chemicals	Provisions on the First Import of Chemicals and the Import and Export of Toxic Chemicals (1994)	List of toxic chemicals severely restricted to be imported into or exported from China
Existing Chemicals	Decree 591 – The Regulations on Safe Management of	Catalog of
Management -	Hazardous Cheinicals in China(1 Dec 2011)	Hazardous
Hazardous Chemicals	s - Sub 1: Measur <mark>es for The Administration </mark> of Registration of	Chemicals
	Hazardous Chemicals (to be revised soom);	(to be updated and
	<ul> <li>Sub 2: Measures for The Administration of Operating</li> </ul>	released soon);
	Licenses for Hazaldous Chemicals (in consultation);	
Classiffication,	GB 20576 ~ GB 20602-2006; GB 13690-2009; GB 15258-	China GHS, national
Labelling and SDS	2009; GB 190-2009; GB/T 16483 -2008;	standards
Occupational Exposure Limits & Protection	Regulation on the Labor Protection in Workplaces Handling Toxic Materials	
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# Provisions on Environmental Administration of New Chemical Substances (2010)

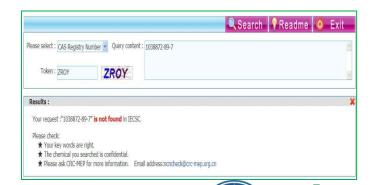
New chemicals not listed on IECSC shall be notified to the Chemical Registration Center (CRC) of MEP irrespective of volume;



#### **IECSC Online Search**

http://www.crcmep.org.cn/iecscweb/IECSC.aspx?La=1

**3,166** substances marked as confidential, further inquiry to CRC required



& REGULATION SERVICE

# **Exemptions**

Chemicals subject to other laws	Pesticides, cosmetics, pharmaceuticals, food and feed additives, etc. (Intermediates or raw materials are not exempt).		
Substances existing	Natural polymers, etc;(Natural substances		
in nature	extracted or processed by chemical methods		
	can not be exempted.)		
<b>Products of non</b>	Impurities: single impurity less than 10% and		
commercial purpose	total content of impurities shall not exceed		
or unintentionally	20%; Waste and by-products.		
produced			
Special categories	Glass, cement, alloys, non-isolated intermediate substances and articles, etc.		



## **Notification Body**

- Domestic manufacturer/importer;
- Foreign manufacturer/exporter: two options

#### Ask importer to notify;



#### **Appoint qualified Chinese agent;**





#### **Types of Notification**

- Scientific Research Record
- Simplified Notification Under Basic Conditions
- Simplified Notification Under Specific Conditions
- Typical Notification



#### Scientific Research Record

- For R & D substances less than 0.1t/y; or
- For the purpose of introducing sample to China for test.
- No test data is required;
- Only takes 5~10 working days;
- Results published by MEP regularly.



# Simplified Notification under Basic Conditions

- For new chemical substances less than 1t/y;
- 1-3 eco-toxicological tests must be carried out in China;
- Takes 4-6 months.

No.	Test Name	Test Scheme
1	Ready biodegradability	The test must be conducted at
		first.
2	Acute toxicity study with	The test must be conducted if the
	Brachydanio rerio	substance is not ready
		biodegradable.
3	Earthworm, acute toxicity test	The test must be conducted if the LC50 of fish cannot be obtained when the solubility of the substances in water is less than 100mg/L and the substance is ot shown to be toxic to aquatic life at its saturated concentration.



# Simplified Notification under Specific Conditions

- For export only with volume under 1t/y;
- For scientific research with volume between 0.1-1t/y;
- For product and technological research with tonnage less than 10 ton per year (up to 2 years);
- For polymers with all monomers already listed in IECSC(if the polymer itself is not listed) or polymers containing less than 2% new chemicals weight by weight; and low concern polymers;
- No test data is required;
- Takes 2-3 months.



#### **Typical Notification**

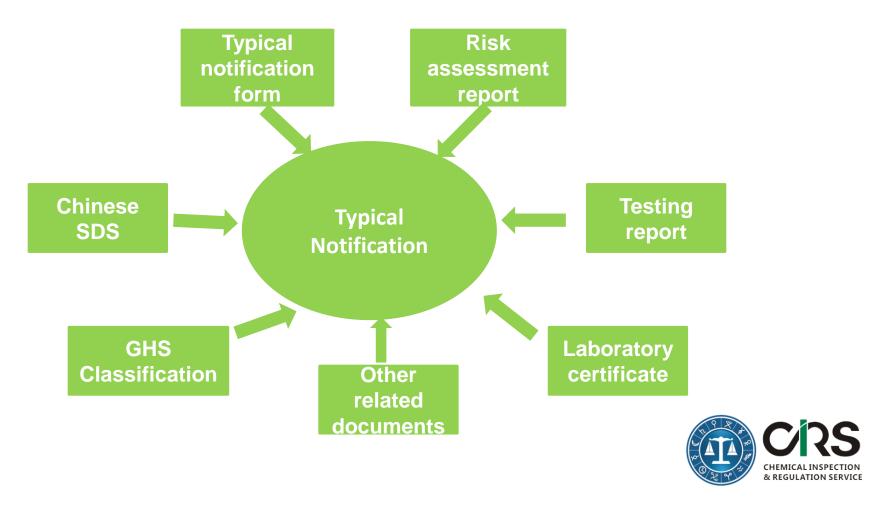
- Four levels (1-10, 10-100,100-1000,1000+);
- The higher the tonnage band, more data required;

Notification Level		Level I	Level II	Level III	Level IV
Tonnage level (ton/a)		> = 1 t / a, < 10 t / a	> = 10 t / a, < 100 t / a	> = 100 t / a,< 1,000 t / a	> = 1,000 t / a
	Qualitative & Quantitative	2~3	2~3	2~3	2~3
Minimum Data endpoint required	Physico-chemical	9 (solid) 12 (liquid)	9 (solid) 12 (liquid)	9 (solid) 12 (liquid)	9 (solid) 12 (liquid)
	Toxicity	9	12	13	15
	Eco-toxicity	7	12	13	13
Estimated testing Period (months)		4 to 6	6 to 8	10 to 15	>15



### **Typical Notification**

Required Notification Materials



# New Substance Management: China vs US

	US TSCA	China REACH
R & D Exemption	No approval is required	Scientific research record(<0.1t/y) or simplified notification.
Test Marketing Exemption	Application for test market exemption	Simplified notification is required. Up to 10t/y and 2 years.
Low Volume Exemption(1-10t/y)	Submission of standard PMN form and prior approval is required.	Does not exist. Full data set for typical notification (1-10t/y) is required.
Low Release / Low Exposure	Prior approval is required	Equivalent exemption does not exist.
New Polymer	No approval is required if polymer meets certain conditions.	Simplified notification or typical notification with reduced data requirement.
General new chemical substances.	Physio-chemical/toxicological/eco-toxicological data is not mandatory	Minimum data requirement must be met.



# **Post-notification Obligations**

Type Category		Management Type	Obligations of certificate holder
Typical	General new substances	Basic management (6 requirements)	<ol> <li>Communicate SDSs;</li> <li>Implement risk management measures;</li> <li>Submit first-activity report;</li> <li>Keep documents on file for over 10 years;</li> <li>Do not sell chemicals to downstream users who are not capable of implementing risk management measures;</li> <li>Submit updates if a new hazard arises;</li> </ol>
notification	Hazardous new substances	General management ( <b>8</b> requirements)	7. Submit annual report (for previous year); 8. Comply with < <the administration="" chemicals="" for="" hazardous="" measures="" of="" registration="" the="">&gt;;</the>
	High environmental concern substance	Key management (11 requirements)	9. Submit report on disposal information; 10. Submit substance circulation info; 11. Submit annual plan (for next year);
Simplified notification		Annual management (2 requirements)	Submit annual plan (for next year);     Keep documents on file for over 10 years;
Scientific research record		Specified management (2 requirements)	Shall be used in special facilities and under the direction of professional personnel:     Can only be used for R&D  CHEMICAL INSPECTION & REGULATION SERVICE  CHEMICAL INSPECTION

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### **Existing Chemicals Management**

- Toxic chemicals restricted to be imported/exported
- Hazardous chemicals

Other existing chemicals are less regulated or subject to other laws.



# Provisions on the First Import of Chemicals and the Import and Export of Toxic Chemicals (issued in 1994);

- Foreign exporters shall apply for a registration certificate with CRC of MEP with its partner and pay \$10,000 if exporting toxic chemicals;
- Domestic importers or exporters shall apply for import or export clearance notification to customs;

#### List of Toxic Chemicals Severely Restricted to Be Imported into or Exported from China

(total 156 substances, updated in 2011, full list available from CIRS website)

Seri al No	Chemical Name	CAS No	Customs Goods ID	Seri al No	Chemical Name	CAS No	Customs Goods ID
1	Mercury sulfide	1344-48-5	2617901000	81	ZINC ARSENIDE	12044-55-2	2853009022
2	Arsenic	7440-38-2	28048000000	82	gallium arsenide	1303-00-0	2853009022
3	Mercury	7439-97-6	28054000000	83	Dichloromethane	75-09-2	2903120001
4	arsenic acid	7778-39-4	2811199020	84	Dichloromethane	75-09-2	2903120090
5	meta-arsenic acid	10102-53-1	2811199020	85	Chloroform	67-66-3	2903130000
6	pyroarsenic acid	13453-15-1	2811199020	86	1,2- dichloroethane	107-06-2	2903150000



# Regulations on Safe Management of Hazardous Chemicals in China (2011)

- ■《危险化学品安全管理条例》or Decree 591;
- Issued on 2 March 2011 and will come into force on 1 Dec 2011;
- Main legislation regulating existing chemicals;
- Requiring mandatory GHS classification and labeling for hazardous chemicals.





# Regulations on Safe Management of Hazardous Chemicals in China (2011)

- Definitions of Hazardous Chemicals
- The Scope of the Regulation
- Main Obligations
- Operating License
- Registration of Hazardous Chemicals
- Labeling and SDSs for Hazardous Chemicals



#### **Definitions of Hazardous Chemicals**

Hazardous chemicals are defined as highly toxic chemicals and other chemicals which are toxic, corrosive, explosive, flammable and do harm to human body, facilities and environment.



**Current Catalog of Hazardous Chemicals (2002)** 

http://www.chinasafety.gov.cn/whpcx.htm

- -currently more than 3,700 chemicals;
- a new Catalog to be released soon.



## The Scope of the Regulation

The following activities involving hazardous chemicals are regulated in China









Production and Storage

Use

Sales and Marketing

**Transportation** 



# **Main Obligations**

For companies in China	For foreign companies
<ul> <li>Production permit for producers;</li> <li>Transport permit for transporter;</li> <li>Operating license for other actives;</li> <li>Registration of hazardous chemicals;</li> <li>Labeling and SDS;</li> <li>Safety management system;</li> <li>Qualified professional;</li> <li>Other requirements.</li> </ul>	Labeling and SDS compliant with Chinese GHS national standards.



#### **Operating License**

Any legal entities operating and marketing hazardous chemicals in China shall obtain <u>operating license</u> from the State Administration of Work Safety (SAWS).









Production and Storage

Use

**Sales and Marketing Transportation** 

# **Need to Apply**

Road transport permit, No operating license

More info can be found in the Measures for The Administration of Operating Licenses for Hazardous Chemicals, which is in public consultations.



### **Registration of Hazardous Chemicals**

Domestic manufacturers and importers of hazardous chemicals in China shall register hazardous chemicals with National Registration Center for Chemicals (NRCC) of SAWS- *Chapter 6, Article 67* 



http://en.nrcc.com.cn



Note\*: Foreign companies do not need to register.



### Registration of Hazardous Chemicals (Online)

- Legal entity information;
- Classification and labeling;
- Phyiso-chemical properties;
- Main uses;
- Hazard properties;
- Safety requirement for storage, use and transport;
- Emergency responses;

More info can be found in the Measures for The Administration of Registration of Hazardous Chemicals, which is to be revised soon.





#### Labeling and SDS for Hazardous Chemicals

#### Chapter 2, Article 15:

Producers of hazardous chemicals shall provide SDS and affix chemical safety labels on packages. The SDS and labels shall be prepared in accordance with national standards (in Chinese). – NEW!

#### Chapter 4, Article 37:

Companies cannot sell hazardous chemicals that do not have SDS and chemical safety label;



## Labeling and SDS for Hazardous Chemicals

Offences	Penalties
No proper operating license	RMB 100,000 to 200,000
No SDS or label; SDS and labels d not comply with national standards	, , ,
Manufacturers and importers fail to register hazardous chemicals	RMB up to 50,000; Repeated infringement up to RMB 100,000

#### **Our Interpretation**

China GHS applies to all chemicals. However, only failure to classify and hazardous chemicals in accordance with China GHS will incur penalties to classify and hazardous chemicals in accordance with China GHS will incur penalties a regulation service.

#### Labeling and SDS for Hazardous Chemicals

- New Catalog of Hazardous Chemicals
  - To be released before the beginning of 2012;
  - Might double in capacity (~7000 chemicals);
  - Might contain harmonized classification similar to annex VI of CLP;
  - Classification based on CLP and Japan's official classification;
  - Use of harmonized classification might be mandatory.



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# **GHS Implementation: Current Status**

2006	Publication of 26 compulsory national standards (prefixed with " $GB$ " ) -GB 20576 ~ GB 20602-2006 "Safety rules for classification, precautionary - labeling and precautionary statements of chemicals;
2008	Publication of two recommended standards (prefixed with " $GB/T$ " ) - GB/T 16483-2008 (SDS); - GB/T 22234-2008 (Labeling of chemicals according to the GHS);
2009	Publication of three compulsory standards -GB 190-2009 (packaging): implements the 15th revised edition of the UN recommendations on the Transport of Dangerous Goods -GB 13690-2009 (classification and hazard communication) – implements GHS -GB 15258-2009 (precautionary labeling) - implements GHS
2010	The three compulsory standards published in 2009 entered into force on 1 May 2010. 1 year transitional period started from 1 May 2010 and will end on 1 May 2011.
2011	Publication of revised Regulations on Safe Management of Hazardous Chemicals in China in March(expected enter into force on 1 Dec 2011)

#### **GHS Implementation: Deadline**

#### 1 May 2011 - Official Deadline

All chemical substances must be classified and labeled in accordance with those standards.

#### 1 Dec 2011

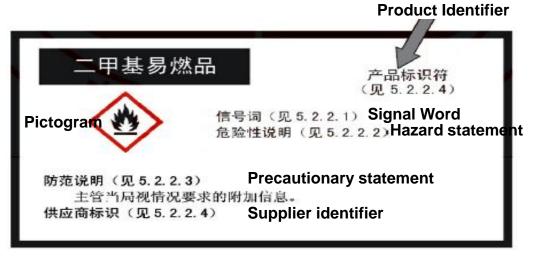
Failure to prepare SDSs and labels for hazardous chemicals according to those national standards after this date will incur penalties.

# **GB 20576 ~ GB 20602-2006 – 26 Classification Standards**

- Based on the 1<sup>st</sup> edition of UN GHS(2003), 8 yrs behind;
- The following building blocks from the 4<sup>th</sup> revised edition of UN GHS(2011) are not adopted in China
  - ■Chemically unstable gases category A and B;
  - ■Non-flammable aerosols category 3;
  - ■Respiratory sensitization subcategory 1A & 1B (China does have category 1);
  - ■Skin sensitization subcategory 1A & 1B (China does have category 1);
  - ■STOT- single exposure category 3;
  - Aspiration category 1 and 2;
  - Hazardous to the ozone layer category 1.

# GB 13690-2009, GB 15258-2009 – Classification and Labeling Standard

- Consistent with UN GHS Rev 2;
- Referring to 26 classification standards;
- Example of a chemical label (refer to GB 15258-2009);
- Example of SDS (refer to GB/T 16483-2008);



One example label from GB 13690-2009;

# **GB/T** 16483-2008 – Content, Order and Sections of SDS

- Recommended standard, not mandatory;
- 16 headings, order and content the same as UN GHS;
- 24h emergency number must be a domestic number.



#### **GHS Implementation: Challenges**

- New Catalog of Hazardous Chemicals not available;
- Lack of data or expertise;
- No differentiation between substances and mixtures;
- Too many government bodies involved;
- Enforcement is weak.

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## **Practical Advice – Buying Chemicals from China**



## **Practical Advice – Exporting Chemicals to China**



#### Resources

■ IECSC(2010)

http://www.crc-mep.org.cn/iecscweb/IECSC.aspx?La=1

Catalog of Hazardous Chemicals(2002)

http://www.chinasafety.gov.cn/whpcx.htm

■ List of Toxic Chemicals Severely Restricted to Be Imported into or Exported from China

http://www.cirs-reach.com/toxic\_chemicals\_list

■ English version of the Provisions on the Environmental Administration of New Chemical Substances in China (2010)

http://www.cirs-reach.com/China\_REACH\_Englis\_Translation

■ Download English version of Chinese SDS and labeling standards.

http://www.cirs-reach.com/China\_GHS\_Implementation



Thank you for your time!

谢谢您的时间!

시간 내주셔서 감사합니다! お時間をいただき!